

Gp 3749

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PATENTS
Docket No.: JLD-1113-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

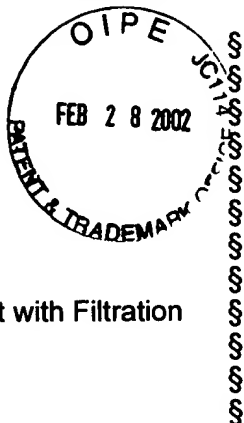
In re Application of

Richard D. Smith

Serial No.: 09/965,879

Filed: September 28, 2001

For: Tile Roof Ridge Vent with Filtration
Media



Group Art Unit: 3749

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ORIGINALLY FILED

Examiner: Unknown

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SUBMISSION OF INFORMATION DISCLOSURE STATEMENT
BEFORE THE MAILING DATE OF A FIRST ACTION
ON THE MERITS (37 C.F.R. §1.97(b)(3))

Box DD
Hon. Commissioner of Patents
and Trademarks
Washington, DC 20231

Sir:

As a means of complying with the duty of disclosure set forth in Title 37 C.F.R. § 1.56, applicant is submitting Form 1449, Information Disclosure Statement to conform to the requirements of Title 37 C.F.R. § 1.97-1.99. A copy of each reference cited is enclosed.

The information disclosure statement transmitted herewith is being filed within three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or before the mailing date of the first Office action on the merits, whichever event occurred last.

Should you wish to discuss this application, please call Jackie Lee Duke, Attorney for Applicant at (713) 964-6737 or facsimile (713) 964-6738.

Respectfully submitted,

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Form PTO-1449 Revised 10/20/97	Attorney Docket Number JLD-1113-US	Application Number 09/965,879
	Applicant(s) Richard D. Smith	
	Filing Date September 28, 2001	Group Art Unit 3749

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,045,928	9/6/1977	R. C. Malett	52	199	7/18/1975
	4,538,508	9/3/1985	J. W. Ballard	98	42.17	2/1/1984
	4,558,637	12/17/1985	R. E. Mason	98	42.21	3/12/1984
	4,685,265	8/11/1987	C. A. Cooper	52	277	2/14/1984
	4,903,445	2/27/1990	J. P. Mankowski	52	199	1/9/1989
	5,189,852	3/2/1993	M. Baldino et al.	52	90.1	12/16/1991
	5,326,318	7/5/1994	M. J. Rotter	454	365	8/24/1993
	5,697,842	12/16/1997	M. P. Donnelly	454	365	9/30/1996
	5,766,071	6/16/1998	H. G. Kirkwood	454	185	10/15/1996
	5,946,868	9/7/1999	R. J. Morris	52	199	9/24/1993
	6,050,039	4/18/2000	H. O'Hagin	52	302.1	3/3/1998

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO

EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc)
	Northwest Building Products Brochure, Highpoint Ridge Vent Series 4

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.